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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date: September 25, 2001  
Name: Lisa M. Davis  
Signature: *Lisa M. Davis*  
Clifford Chance Rogers & Wells LLP

Docket No. 3499-82

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Andrew J.R. Smith et al.  
Filed: May 31, 2001 Group Art Unit: 2161  
Serial No: 09/870,811 Examiner: TBA  
For: METHOD AND SYSTEM FOR PROCESSING FINANCIAL  
DATA OBJECTS CARRIED ON BROADCAST DATA  
STREAMS AND DELIVERING INFORMATION TO  
SUBSCRIBING CLIENTS

**SUBMISSION OF SUBSTITUTE FORMAL DRAWINGS**

Commissioner for Patents  
Attn: Official Draftperson  
Washington, D.C. 20231

Sir:

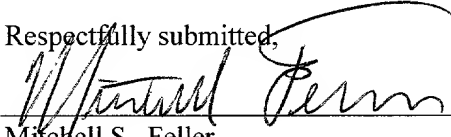
In response to the Notice of Drawing Requirements mailed on July 31, 2001 (copy enclosed), enclosed please find Substitute Formal Drawings illustrating Figs. 1-10 (10 sheets) for the above-identified application and which replace the corresponding originally submitted drawings. No new matter has been added.

It is respectfully requested that these drawings be approved by the Official Draftsman and made of record in the file of this case.

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this request, or to credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date: September 25, 2001

Respectfully submitted,

  
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